

# 6th Annual NAFER Conference October 18-20, 2017



## AGENDA

Four Seasons Hotel  
Miami Florida

### Wednesday, October 18, 2017 RECEIVER TRAINING CAMP

1:30 – 3:30 p.m.

**Receiver's Training Camp – The Second Quarter**

**Producer: S. Gregory Hays, CTP, CIRA**, Founder and Managing Principal, Hays Financial Consulting; President, NAFER

3:30 – 4:00 p.m.

**Halftime (Break)**

4:00 – 5:00 p.m.

**Receiver's Training Camp** – Introduction to International Issues

**Producer: Alex Moglia**, Founder, President and Lead M&A and Restructuring Advisor, Moglia Advisors and Chair of NAFER's International Committee

5:30 – 7:00 p.m.

**Opening Reception**

### Thursday, October 19, 2017 MAIN CONFERENCE PANELS

7:30 – 8:30 AM

**Breakfast**

8:45 – 9:15 AM

**Welcome and Introductions**

9:15 – 10:15 AM

**Pursuing Claims Against Professionals, Fiduciaries, and Other Deep Pockets**

**Producer: Melissa Damian Visconti**, Of Counsel, Damian & Valori LLP

10:15 – 10:45 AM

**Break**

10:45 - 11:45 AM

**Keys to a Cost-Effective Receivership: When to Hold 'Em & Fold 'Em**

**Producer: Gianluca Morello**, Shareholder, Wiand Guerra King

Noon – 1:45 PM

**Lunch & Keynote Presentation**

*The Development and Unraveling of a Ponzi Scheme:  
A Conversation with Travis Correll*

This year's keynote presentation will be a unique look at the **case history of an actual Ponzi scheme** featuring *the fraudster, SEC enforcement counsel, the receiver, and receiver's counsel*. In an open, "fireside chat" format, the SEC's **Marshall Gandy** joins NAFER President **Greg Hays** and Troutman Sanders Managing Partner **David Dantzler** as they discuss with convicted Ponzi scheme perpetrator **Travis Correll** the development – and eventual unraveling – of Mr. Correll's \$100M Ponzi scheme.

In 2008, **Travis Correll** was sentenced to 12 years in federal prison for running a \$100 million Ponzi scheme that collapsed in 2005. He was 31 years of age. The case, **SEC v. Travis E. Correll, et. al**, was filed in the Eastern District of Texas; **Marshall Gandy** was the SEC enforcement attorney on this case. Mr. Gandy is now Senior Officer and Associate Regional Director of the Ft. Worth Texas office of the SEC. **Greg Hays**, NAFER President, was the court-appointed receiver, and **David Dantzler**, Managing Partner, New York Office of Troutman Sanders was lead counsel to the receiver.

Mr. Correll served his time and now speaks openly about how the case developed, the scheme's ultimate downfall and his ensuing conviction. This will, no doubt, be an interesting inside look at how one Ponzi scheme developed and unraveled.

**2:00 – 3:00 p.m.**     **Taxing Questions for the Federal Equity Receiver: *Qualified Settlement Funds, Final Tax Returns, Avoiding Personal Liability and Others***

**Producer:** **Maria M. Yip, CPA, CFE, CIRA**, *Founder, Yip Associates*

**3:00 – 3:30 PM**     **Break**

**3:30 – 4:45 PM**     **Fraud Vectors in the Receivership Universe**

**Producer:** **Michael Goldberg**, Partner, Co-Chair, Fraud & Recovery Practice Group, Akerman

**5:30 – 7:00 PM**     **Conference Cocktail Reception**

### **Friday, October 20, 2017**

**7:30 – 8:30 AM**     **Breakfast**

**8:30 – 9:15 AM**     **NAFER Members Meeting**

**9:30 – 10:45 AM**     **Avoiding Turf Wars in Parallel Receiverships and Forfeiture Proceedings**

**Producer:** **John J. Carney**, Partner, BakerHostetler

**11:15 – 12:45 PM**     **Judges Panel**

**Producer:** **Robert P. Mosier**, President, CEO, Mosier & Company, Chair, NAFER Conference Committee

**12:45 – 1:00 p.m.**     **Concluding Remarks: Robert Mosier President**, CEO, Mosier & Company, Chair, NAFER Conference Committee